

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of: Takahiro ISHIKAWA, Masayuki SHINKAI, Makoto MIYAHARA,  
Shuhei ISHIKAWA, Nobuaki NAKAYAMA and Seiji YASUI

Ser. No.: 10/807,674

Art Unit: 2841

Filed: March 24, 2004

Examiner: Tuan T. Dinh

Confirmation No.: 4305

For: HEAT SPREADER MODULE AND METHOD OF MANUFACTURING SAME

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97(c)**

**TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either:
  - (1) a final action under § 1.113,
  - (2) a notice of allowance under § 1.311 or
  - (3) an Office Action that closes prosecution.

**STATEMENT OR SUBMISSION FEE**

2. Accompanying this transmittal is

A. ☒ a statement as specified in 37 C.F.R. 1.97(e).

**OR**

B. ☐ the submission fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

Fee due: \$.00

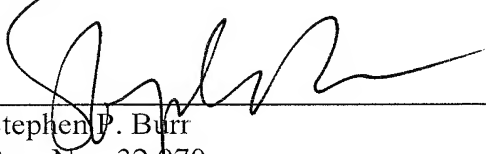
**METHOD OF PAYMENT OF FEE**

3. ☐ Attached is a check in the amount of \$\_\_\_\_\_.
- ☐ Charge Account No. 50-1446 in the amount of \$\_\_\_\_\_.
- ☐ The fees associated with this paper are being paid electronically with this submission.
4. ☒ If any additional fees are due, please charge Deposit Account 50-1446.

Respectfully submitted,

June 25, 2007

Date

  
\_\_\_\_\_  
Stephen P. Burr  
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Practitioner's Docket No.: 789\_126

PATENT

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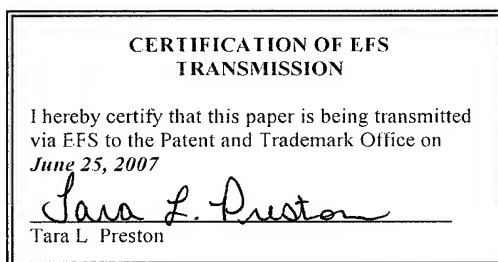
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CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Sir:

☐ Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

☒ No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

June 25, 2007

Date

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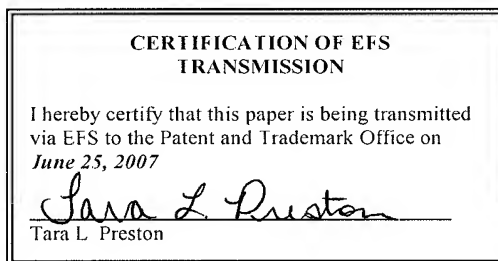
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INFORMATION DISCLOSURE STATEMENT

Sir:

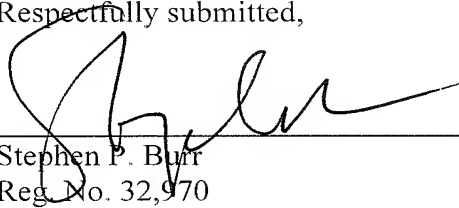
Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference listed on the attached Form PTO-1449. A required copy of the reference listed on Form PTO-1449 is attached.

Also enclosed is a copy of an Office Action from a corresponding Japanese patent application bearing a mailing date of June 12, 2007, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that this reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,



Stephen P. Burr  
Reg. No. 32,970

June 25, 2007  
Date

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Form PTO-1449  US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	10/807,674
				Filing Date	March 24, 2004
				First Named Inventor	Takahiro ISHIKAWA
				Art Unit	2841
				Examiner Name	Tuan T. Dinh
				Confirmation No.	4305
Sheet	1	of	1	Attorney Docket No.	789_126

### U.S. PATENT DOCUMENTS

Exam Initial		Document Number	Date	Name	Our Docket No.	Class	Sub Class	Filing Date

### U.S. COPENDING APPLICATIONS

Exam Initial		Application/ Publication Number	Filing/ Publication Date	Inventor Name	Our Docket No.	Class	Sub Class

### FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub Class	Translation	Abstract
	AI	2003-055058	2/26/2003	Japan			IPO Machine Translation	✓

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.)


Examiner:		Date Considered:	
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.